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09/927,252	08/10/2001	William R. Stafford	42390P6468C	5547

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EXAMINER
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SONG, JASMINE

ART UNIT	PAPER NUMBER
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2188

DATE MAILED: 11/05/2003

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Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/927,252

Applicant(s)

STAFFORD, WILLIAM R.

Examiner

Jasmine Song

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 27 August 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 19-41 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 19-41 is/are rejected.
- 7) ☒ Claim(s) 25 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other:

### **Detailed Action**

1. This office action is in response to Amendment G filed on 08/27/2003. Claims 19-41 are presented for examination. All rejections and objections not explicitly repeated below are withdrawn.

### **Specification**

2. The lengthy specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

### **Claim Objections**

3. Claim 25 is objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. Claims 24 and 25 have the same scope because claim 24 includes the limitations of claim 19,21,20 and 24 itself and claim 25 includes the limitations of claim 19,21,20,24 and 25 itself, since the limitations of claim 21 and 25 are same, therefore, claims 24 and 25 have the same scope.

### **Claim Rejections - 35 USC § 103**

4. The rejections of claims 19-41 are maintained under 35 USC § 103 and updated (due to the amendment of claim 39) as shown below.

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 19-21,23-29,32-35,39-41 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cloud et al., U.S. Patent 5815427, in view of Tremblay., U.S. Patent 6021469.

Regarding claims 19, 26,32 and 39, Cloud et al. teach a memory device (Fig.1 and 7, element 10) coupled with the processor (Fig.7, element 84), the memory device (Fig.1, element 10) comprising memory storage (Fig.1, element 12) and three different interfaces (Fig.1, element 14 includes three different interfaces which are shown in the Fig.5 and 6) such as the programming interface (Fig.5, col.5, lines 45-58), the test interface (Fig.6, element 77, col.6, lines 44-47) and operation interface (Fig.6, element 74 and 76, col.6, lines 36-44) to operate the memory storage (Fig.1, element 10) in at least one of three different modes (Fig.5 and 6, col.5, lines 45-58 and col.6, lines 36-47), the test interface to test the memory device (Fig.6, element 77, col.6, lines 44-47). the programming interface to program the memory storage (Fig.5, col.5, lines 45-58).

Cloud does not teach that the memory storage and the three different interfaces reside in a common die.

However, Tremblay teaches that the memory storage and the three different interfaces (I/O controller interfaces, memory controller interfaces and instruction cache unit interface as taught as in col.11, lines 23-35 and col.17, lines 44-45) reside in a common die (Fig.1, element 100, hardware processor) (col.11, lines 27-28).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to utilize the teachings of Tremblay in the memory system of Cloud and have the memory storage and the three different interfaces reside in a common die for the advantage of much better performance characteristics (col.5, lines 40-42 and col.6, lines 3-5) and not necessarily to arbitrate to use the memory bus since the memory storage and the three different interfaces as hardware processor is the only master (col.11, lines 27-28 and 39-41).

According, one of ordinary skill in the art would have recognized this and concluded that they are from the same field of endeavor. This would have motivated one of ordinary skill in the art to implement the above combination for the advantage set forth above.

Regarding claims 20, 27 and 33, Cloud et al. teach that selection circuitry (Fig.6, element 62) to select among the three different interfaces (Fig.5 and 6, col.5, lines 45-58 and col.6, lines 36-47).

Regarding claims 21, 29, 35, Cloud et al. teach that the three different interfaces comprises:

a test interface to test the memory device for defects (Fig.6, element 77, col.6, lines 44-47).

a programming interface to program the memory device with a code (Fig.5, col.5, lines 45-58).

an operation interface to operate the memory device in an operation mode (Fig.6, element 74 and 76, col.6, lines 36-44).

Regarding claims 24-25, 28 and 34, Cloud et al. teach that the selection circuitry comprises:

a plurality of drivers (col.2, lines 45-48), each of the plurality of drivers coupled between a device pad and a device circuit (col.3, lines 1-32), each of the plurality of drivers having a control input (Fig.6) and

a multiplexer coupled to the control input of each of the plurality of drivers to select one of the plurality of drivers (Fig.5, element 57, col.5, lines 22-45).

Regarding claim 23, Cloud et al. teach the operation interface is a proprietary interface (col.6, lines 36-44col.5, lines 56-65).

Regarding claim 40, Cloud et al. teaches that selecting the programming interface and wherein operating comprises programming the memory device with code using the programming interface (col.5, lines 39-60)

Regarding claim 41, Cloud et al. teaches that selecting the test interface and wherein operating comprises testing the memory device for defects using the test interface (col.6, lines 44-47).

7. Claims 22, 30-31 and 36-38 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cloud et al., U.S. Patent 5815427.

Regarding claims 22, 30-31 and 36-38, Cloud et al. teach the limitations in the claims above. Cloud does not teach the memory device is a flash memory and BIOS memory. However, Cloud indicates that the memory device is SDRAM, but it may be another type of memory device (col.6, lines 3-7). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have the memory device as the BIOSs which store on a flash memory chip that can be upgraded via software. This would have motivated one of ordinary skill in the art to use flash memory chip in a PC so that the BIOS could be updated in place instead of being replaced.

### **Response to applicant's Arguments**

8. Applicant's arguments filed 08/27/2003 have been fully considered but they are not persuasive.

9. In response to applicant's argument that the examiner's conclusion of obviousness is based upon improper hindsight reasoning (see applicant's remarks on page 9, third paragraph and page 11, fourth paragraph), it must be recognized that any judgment on obviousness is in a sense necessarily a reconstruction based upon hindsight reasoning. But so long as it takes into account only knowledge which was within the level of ordinary skill at the time the claimed invention was made, and does not include knowledge gleaned only from the applicant's disclosure, such a reconstruction is proper. See *In re McLaughlin*, 443 F.2d 1392, 170 USPQ 209 (CCPA 1971).

In response to applicant's argument that there is no suggestion to combine the references (see applicant's remarks on page 9-10), the examiner recognizes that obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art. See *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988) and *In re Jones*, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992). In this case, Tremblay teaches that the memory storage and the three different interfaces (I/O controller interfaces, memory controller interfaces and instruction cache unit interface as taught as in col.11, lines 23-35 and col.17, lines 44-45) reside in a common die (Fig.1, element 100, hardware processor) (col.11, lines 27-28) for the advantage of much better performance characteristics (col.5, lines 40-42 and



col.6, lines 3-5) and not necessarily to arbitrate to use the memory bus since the memory storage and the three different interfaces as hardware processor is the only master (col.11, lines 27-28 and 39-41).

In response to applicant's argument that data cache 165 and instruction cache 125 are not the same memory storage and the interfaces of Tremblay are used to operate the different memory storage (see applicant's remarks on page 10), the examiner agrees that the data cache 165 and instruction cache 125 are not the same memory storage, however, the interfaces (I/O controller interfaces 111, memory controller interfaces 112 and instruction cache unit interface 120 as taught as in col.11, lines 23-35 and col.17, lines 44-45) of Tremblay are used to operate the same memory storage instruction cache 125 as shown in Fig.1.

In response to applicant's argument that there is no suggestion to combine the references (see applicant's remarks on page 11-12 for claim 38), the examiner recognizes that obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art. See *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988) and *In re Jones*, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992). In this case, Cloud indicates that another type of memory device can be used (col.6, lines 3-7). Other type of memory device include the

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flash memory since the flash memory have the advantage of keeping the content of data stored even the power to the memory is off, this advantage is taught in the Yamada's reference, U.S. Patent 6125423 (col.1, lines 19-23).

In response to applicant's argument that there is no teaching of programming the memory module as cited in claim 39 on page 12 of the applicant's remarks and, last paragraph, the applicant must discuss the references applied against the claim, explaining how the claim avoid the reference or distinguish from them, however, the arguments on page 12 has only generic statement regarding the reference without specifically addressing the points set-forth in the Examiner's rejection.

### **Conclusion**

10. When responding to the office action, Applicant is advised to clearly point out the patentable novelty which he or she thinks the claims present in view of the state of the art disclosed by the references cited or the objections made. He or she must also show how the amendments avoid such references or objections. See 37 C.F.R. 1.111 (c).

11. When responding to the office action, Applicants are advised to provide the examiner with the line numbers and page numbers in the application and/or references cited to assist examiner to locate the appropriate paragraphs.

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12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jasmine Song whose telephone number is 703-305-7701. The examiner can normally be reached on 8:00-5:30 (first Friday off).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mano Padmanabhan can be reached on 703-306-2903. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-3900.

Jasmine Song



Patent Examiner

October 31, 2003



Mano Padmanabhan

Supervisory Patent Examiner

Technology Center 2100